

# Mandatory display

i. Basic details of the SB such as registration number, registered address of Head Office and branches if any

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
SHRI VENKATESH STOCK BROKER SERVICES INDIA PVT	INZ000231135 CDSL No. : IN-DP-41-2015	C-21/22, Shyam Market Jiwan Bima Marg, Pandri, Raipur (C.G)-492001	Nil	0771-4059071/72	Shri_vsb@yahoo.in

## Escalation Matrix

Dear Investors, In case of any grievance / complaint against **ShriVenkatesh Stock Broker Services India Pvt Ltd- Sebi Reg No-INZ000231135** (StockBroker / Depository Participant):

Details of	Contact Person	Address	Contact No	Email Id
Client Servicing	SnehilKhare	C-21/22, Shyam Market, JiwanBimaMarg, Pandri, Raipur C.G.	07714059071	shri_vsb@yahoo.in
Head of Client Servicing	Sheikh Irfan	C-21/22, Shyam Market, JiwanBimaMarg, Pandri, Raipur C.G.	9522240010	irfan_regent@yahoo.com
Compliance Officer	PrashitaSheolikar	C-21/22, Shyam Market, JiwanBimaMarg, Pandri, Raipur C.G.	9522240016	prashitasheolikar@gmail.com
CEO	Sanjiv Kumar Rathi	C-21/22, Shyam Market, JiwanBimaMarg, Pandri, Raipur C.G.	9522240013	sanjivrathica@yahoo.com

## ii. Names and contact details of all Key Managerial Personnel including the Compliance Officer-

Sr. No.	Name	Designation	Mobile No	Email ID
1	Sanjiv Kumar Rathi	Designated Director	9525205023	sanjivrathica@yahoo.com
2	Madan Lal Rathi	Director	8109016001	sanjivrathica@yahoo.com
3	Prashita Sheolika	Compliance Officer	9522240016	Prashitasheolika83@gmail.com

## iii. Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc.

### A) Complete Guide on How to Open Trading Account

You cannot trade in the stock market with a Demat account.

Yes, a Demat account merely stores your assets. The actual buying and selling of assets (shares in this case) takes place in a trading account. In essence, Demat, trading, and bank account are all interlinked and crucial for you to execute a successful trade. When you buy shares, money is first transferred from your bank account to your trading account. Once the transaction goes through, the shares are credited to your Demat account. This is why opening a trading account is a must if you want to trade in the stock market.

Let's look at the step-by-step process and documents required to open a Demat and trading account with Shri Venkatesh Stock Broker Services India Pvt Ltd

### Documents Required to Open Demat and Trading Account with Shri Venkatesh Stock Broker Services India Pvt Ltd

Identity Proof - PAN card is mandatory.

Address Proof - Aadhaar card, recent Electricity Bill, Passport, Voter's ID, Driver's Licence, Bank Passbook, etc.

Income Proof - Latest Salary slip, Income Tax Return, or last 6 months bank statement

Bank proof- Bank passbook/Bank statement/Cancel cheque

Other Details- Photographs, Email Id, Mobile Number

### Steps to Open Demat and Trading Account with Shri Venkatesh Stock Broker Services India Pvt Ltd

#### (We open Demat and trading account only through offline process)

Step 1: Client must visit our office with all required documents as mention above or they can send us through courier.

Step 2: Client have to sign CKYC form , client registration form and all other required documents prescribe by SEBI/Exchange and CDSL time to time.

Step 3: After verification of all documents and signature it gives for processing of opening of Demat and Trading account.

Step 4: DP/Broker enters all required data in Back office generate file for both CDSL and Exchange and upload this files to respective login provided by CDSL/Exchange.

Step 5: After successful uploading of file Demat and trading account open with specific number.

We contact clients after account opening.

**B)** Please write us your complaint in any of our mail id – [prashitasheolika83@gmail.com](mailto:prashitasheolika83@gmail.com), [shri\\_vsb@yahoo.in](mailto:shri_vsb@yahoo.in), [sanjivrathica@yahoo.com](mailto:sanjivrathica@yahoo.com) or you can contact us on 0771-4059071/72

We will raise your complaint and resolve within 2 working days and revert you via mail OR call

**C)** For finding out status of the complaint please share your client code to get complaint status.

#### iv. Details of Authorized Persons

S NO.	NAME	EXCHANGE
1	SANJAY KUMAR SAHU	BSE
2	PUSHPALATA CHANDA	BSE
3	ADITYA AGRAWAL	BSE
4	PINKU KUMAR MISHRA	BSE
5	NIBEDITA MISHRA	BSE
6	SANGITA DEVI AGRAWAL	BSE
7	NASIM PARVEEN	BSE

#### List of Authorised Persons (AP) Cancelled by Member on Account of Disciplinary Reasons

Sr.No.	Authorised Person's Name	Status
1	-	-
2	-	-
3	-	-
4	-	-

